

Morgan & Finnegan, L.L.P.

A Registered Limited Liability Partnership
3 WORLD FINANCIAL CENTER
NEW YORK, NY 10281-2101
TEL: 212-415-8700
FAX: 212-415-8701

FAX COVER SHEET

To	COMPANY	PHONE	FAX
Ms. Sonja Williams	USPTO	(703)305-2272	(703)308-3691

FROM:	Matthew K. Blackburn	DATE:	March 2, 2005
IF YOU DO NOT RECEIVE ALL PAGES, PLEASE CALL:		CLIENT/MATTER:	3655-4000
CONFIRM:		PAGES (INCLUDING COVER):	13

COMMENTS: **URGENT**

259 770

RE: U.S. Patent Appl. Ser. No. 09/205,660

As you requested, attached is a revised version of Applicants' 11/22/04 Amendment (Claim

Amendments Section only) which uses only the USPTO specified claim identifiers.

Please call me if I can be of any further assistance.

THE DOCUMENT(S) ACCOMPANYING THIS FACSIMILE TRANSMISSION CONTAINS INFORMATION FROM THE LAW FIRM OF MORGAN & FINNEGAN, L.L.P. WHICH IS CONFIDENTIAL AND/OR LEGALLY PRIVILEGED. THE INFORMATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ON THIS TRANSMISSION SHEET. IF YOU ARE NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISCLOSURE, COPYING, DISTRIBUTION OR THE TAKING OF ANY ACTION IN RELIANCE ON THE CONTENTS OF THIS FACSIMILE INFORMATION IS STRICTLY PROHIBITED, AND THE DOCUMENT(S) SHOULD BE RETURNED TO THIS FIRM IMMEDIATELY. IF YOU HAVE RECEIVED THIS FACSIMILE IN ERROR, PLEASE NOTIFY US BY TELEPHONE IMMEDIATELY SO THAT WE CAN ARRANGE FOR THE RETURN OF THE ORIGINAL DOCUMENTS AT NO COST TO YOU.

Morgan & Finnegan, L.L.P.

A Registered Limited Liability Partnership
3 WORLD FINANCIAL CENTER
NEW YORK, NY 10281-2101
TEL: 212-415-8700
FAX: 212-415-8701

FAX COVER SHEET

To	COMPANY	PHONE	FAX
Ms. Sonja Williams	USPTO	(703)305-2272	(703)308-3691
FROM:	Matthew K. Blackburn	DATE:	March 2, 2005
IF YOU DO NOT RECEIVE ALL PAGES, PLEASE CALL:	212-415-8771	CLIENT/MATTER:	3635-4000
CONFIRM:		PAGES (INCLUDING COVER):	1 15

COMMENTS: **URGENT**

2/5

RE: U.S. Patent Appl. Ser. No. 09/295,770

As you requested, attached is a signature page and a certificate of facsimile transmittal. Please call me if I can be of any further assistance.

THE DOCUMENT(S) ACCOMPANYING THIS FACSIMILE TRANSMISSION CONTAINS INFORMATION FROM THE LAW FIRM OF MORGAN & FINNEGAN, L.L.P. WHICH IS CONFIDENTIAL AND/OR LEGALLY PRIVILEGED. THE INFORMATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ON THIS TRANSMISSION SHEET. IF YOU ARE NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISCLOSURE, COPYING, DISTRIBUTION OR THE TAKING OF ANY ACTION IN RELIANCE ON THE CONTENTS OF THIS FACSIMILE INFORMATION IS STRICTLY PROHIBITED, AND THE DOCUMENT(S) SHOULD BE RETURNED TO THIS FIRM IMMEDIATELY. IF YOU HAVE RECEIVED THIS FACSIMILE IN ERROR, PLEASE NOTIFY US BY TELEPHONE IMMEDIATELY SO THAT WE CAN ARRANGE FOR THE RETURN OF THE ORIGINAL DOCUMENTS AT NO COST TO YOU.

PATENTDocket No. 3635-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 09/259,770 Confirmation : 5669
Applicant(s) : Stephen J. Hodgdon
Filed : March 1, 1999
Title : THE SELIGMAN HARVESTER RISK MANAGEMENT SYSTEM FOR
ACHIEVING A RECOMMENDED ASSET ALLOCATION AND
WITHDRAWAL STRATEGY
Art Unit : 3624
Examiner : Jagdish PATEL
Docket No. : 3635-4000
Customer No. : 27123

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF FACSIMILE TRANSMISSION

Sir:

I hereby certify that the attached Amendment under 37 C.F.R. 1.111 is being transmitted on the date shown below to the USPTO (Ms. Sonja Williams) at the following facsimile number: (703)308-3691.

Respectfully submitted,
MORGAN & FINNEGIAN, L.L.P.

Dated: March 2, 2005

By: _____


Matthew K. Blackburn
Registration No. 47,428Correspondence Address:

MORGAN & FINNEGIAN, L.L.P.
3 World Financial Center
New York, NY 10281-2101
(212) 415-8700 Telephone
(212) 415-8701 Facsimile